

# Appendix 3Y

## Change of Director's Interest Notice

Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	HAWTHORN RESOURCES LIMITED
<b>ABN</b>	44 009 157 439

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	KERR, Mark Gregory
<b>Date of last notice</b>	18 June 2020 (Appendix 3Y)

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part

"Refer Annexure "A"

<b>Direct or indirect interest</b>	Refer Annexure "A"
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	Refer Annexure "A"
<b>Date of change</b>	Refer Annexure "A"
<b>No. of securities held prior to change</b>	Refer Annexure "A"
<b>Class</b>	Refer Annexure "A"
<b>Number acquired</b>	Refer Annexure "A"
<b>Number disposed</b>	Refer Annexure "A"
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	Refer Annexure "A"
<b>No. of securities held after change</b>	Refer Annexure "A"
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	Refer Annexure "A"

## Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	Refer Annexure "A"
<b>Nature of interest</b>	Refer Annexure "A"
<b>Name of registered holder (if issued securities)</b>	Refer Annexure "A"
<b>Date of change</b>	6 January 2021
<b>No. and class of securities to which interest related prior to change</b> <small>Note: Details are only required for a contract in relation to which the interest has changed</small>	Refer Annexure "A"
<b>Interest acquired</b>	Refer Annexure "A"
<b>Interest disposed</b>	Not applicable
<b>Value/Consideration</b> <small>Note: If consideration is non-cash, provide details and an estimated valuation</small>	Refer Annexure "A"
<b>Interest after change</b>	Refer Annexure "A"

## Part 3 – <sup>+</sup>Closed period

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	
<b>If prior written clearance was provided, on what date was this provided?</b>	

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**ANNEXURE "A"**  
**[of 2 pages]**  
**07 January 2021**

**Schedule of Director's Interest**  
**DIRECTOR: KERR, Mark Gregory**

	<b>PRESENT APPENDIX 3Y 07 01 21 ASX Code: HAW</b>	<b>PREVIOUS APPENDIX 3Y 18 06 20 ASX Code: HAW</b>	<b>CHANGES</b>	
<b>Director's interest in issued securities of Hawthorn Resources Limited held in name of:</b>				
<b>Quoted Securities - Ordinary Fully Paid Shares</b>				
<b>1</b>	<b>DIRECT</b>	nil	nil	nil
<b>2</b>	<b>INDIRECT</b>			
	(i) Mark Gregory and Linda Marie Kerr <Lindmark Staff S/F A/C>	<b>2,031,529</b>	2,531,529	(500,000)
	(ii) Mark Gregory and Linda Marie Kerr <Lindmark Staff Super Fund A/C>	<b>1,051,222</b>	1,051,222	nil
	(iii) Lindmark Investments Pty Ltd <Staff Super Fund A/C>	<b>783,164</b>	783,164	nil
	(iv) Berkeley Consultants Pty Ltd	nil	622,662	(622,662)
	(v) Paradyce Pty Ltd	<b>6,122,662</b>	5,000,000	1,122,662
	(vi) Mark Gregory Kerr <Lindmark Staff Super A/C>	<b>2,156,148</b>	3,511,879	(1,355,731)
<b>3</b>	<b>TOTAL DIRECTOR'S INTEREST</b>	<b>12,144,725</b>	13,500,456	(1,355,731)
<b>4</b>	Hawthorn Resources Limited – Number of Shares on Issue	<b>333,515,613</b>	333,515,613	nil
<b>5</b>	<b>TOTAL DIRECTORS INTEREST IN SHARES AS A PERCENT</b>	<b>3.641%</b>	4.048%	(0.407%)

*All holdings are recorded in the Register of Members with an address at:  
Level 2, 90 William Street, Melbourne, Vic., 3000.*

**COMMENTS:**

Mr Kerr has an interest in the above Hawthorn Resources Limited issued securities for the following reasons:

- 1 DIRECT**  
Mr Kerr has no direct entitlement to the above noted securities of Hawthorn Resources Limited
- 2 INDIRECT**  
In Items 2 (i); (ii); (iii) and (vi) above Mr Kerr is a Trustee for the Staff Super Fund Accounts. In Item 2 (iv) and (v) above Mr Kerr is a director and shareholder of both Berkeley Consultants Pty Ltd (iv) and Paradyce Pty Ltd (v). As such Mr Kerr has a relevant interest in those capacities in the above stated 12,144,725 fully paid ordinary shares.

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**MOVEMENTS IN ENTITLEMENTS**

**Quoted Securities - Ordinary fully paid shares**

**2           INDIRECT**

***(i) Mark Gregory and Linda Marie Kerr <Lindmark Staff S/F>***

<b>Balance</b> , per Appendix 3Y, 18 June 2020	<u>2,531,529</u>
<b>Movement(s)</b>	
<b>Transfer to Paradyce Pty Ltd</b>	<u>(500,000)</u>
<b>Balance</b> , per Appendix 3Y, 7 January 2021	<u>2,031,529</u>

***(ii) Mark Gregory and Linda Marie Kerr < Lindmark Staff Super Fund A/C>***

<b>Balance</b> , per Appendix 3Y, 18 June 2020	<u>1,051,222</u>
<b>Movement – No Change</b>	
<b>Balance</b> , per Appendix 3Y, 7 January 2021	<u>1,051,222</u>

***(iii) Lindmark Investments Pty Ltd <Staff Super Fund A/C>***

<b>Balance</b> , per Appendix 3Y, 18 June 2020	<u>783,164</u>
<b>Movement – No Change</b>	
<b>Balance</b> , per Appendix 3Y, 7 January 2021	<u>783,164</u>

***(iv) Berkeley Consultants Pty Ltd***

<b>Balance</b> , per Appendix 3Y, 18 June 2020	<u>622,662</u>
<b>Movement(s)</b>	
<b>Transfer to Paradyce Pty Ltd</b>	<u>(622,662)</u>
<b>Balance</b> , per Appendix 3Y, 7 January 2021	<u>nil</u>

***(v) Paradyce Pty Ltd***

<b>Balance</b> , per Appendix 3Y, 18 June 2020	<u>5,000,000</u>
<b>Movements</b>	
<b>Transfer from Berkeley Consultants Pty Ltd</b>	622,662
<b>Transfer from M G &amp; L M Kerr &lt;Lindmark Staff S/F&gt;</b>	<u>500,000</u>
<b>Balance</b> , per Appendix 3Y, 7 January 2021	<u>6,122,662</u>

***(vi) Mark Gregory Kerr <Lindmark Staff Super A/C>***

<b>Balance</b> , per Appendix 3Y, 18 June 2020.	<u>3,511,879</u>
<b>Movements</b>	
<b>05.01.2021</b> on-market at \$0.116822/ share.	(968,255)
<b>06.01.2021</b> on-market at \$0.115/share.	<u>(387,476)</u>
<b>Balance</b> , per Appendix 3Y, 7 January 2021.	<u>2,156,148</u>